PROVENANCE

WEALTH ADVISORS

www.provwealth.com

Fort Lauderdale

Miami

Miami - Dadeland

Boca Raton

West Palm Beach

Sarasota

Cincinnati

New York City

About Provenance Wealth Advisors

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TOPICS AND HIGHLIGHTS

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Today we are a financial advisory powerhouse with more than $4 billion in client assets under advisement and recognition as one of the country's Best Places to Work for Financial Advisors.

PROVENANCE
WEALTH ADVISORS

About Us

Provenance Wealth Advisors (PWA) was founded with the idea of providing professionals with accessible resources to help them become independent business owners and to break away from institutional financial firms. Our wide array of resources and tools allow advisors to build their practice while focusing on better serving their clients.

We are committed to the success of each of our advisors in achieving their client goals. Our distinct holistic approach to financial planning oversees the entirety of a client's unique estate-planning needs in order to develop well-thought out strategies to help build and preserve wealth.

For our advisors, we offer a comprehensive range of support services to assist independent advisory businesses thrive, including operations, investment research, financial planning, marketing, compliance and IT.   We support the administration and maintenance, so advisors don't have to deal with the minutia of running a successful business.

Our goal is to provide all the support and resources an advisor needs to focus on what they do best.

PROVENANCE
WEALTH ADVISORS

Resources and Support

INVESTMENT AND RESEARCH

In-house analysts and researchers, including four certified financial analysts (CFAs), to closely monitor the markets, develop investment models, execute trades and provide you and your clients with critical and timely investment strategy guidance. Our Investment and Research Group further supports your regular communications with clients by writing quarterly market updates for delivery directly to your clients' inboxes.

FINANCIAL PLANNING

PWA has a dedicated Planning Department made up of highly skilled professionals, including certified financial planners (CFPs), who work with you to do the heavy lifting of developing financial plans tailored to your specifications and customized to your client's distinct needs. You quarterback the planning team, providing details to help them assess your clients' current financial circumstances and develop comprehensive action plans to meet short and long-term goals.

OPERATIONS AND COMPLIANCE

Our team approach to financial advisory services is supplemented by operational support specialists who help to ensure that you and your clients enjoy a stress-free and seamless transition to our financial planning platform. They take care of all of the required paperwork for establishing new accounts, updating beneficiary forms, moving money and so much more. In addition, we have a dedicated team that manages all regulatory compliance requirements to ensure your clients are protected.

INSURANCE PLANNING

Our team includes insurance professionals who are adept at auditing existing insurance programs. We look at performance, proper ownership, beneficiary designations and a myriad of details that can work against goals. Many individuals acquire insurance to meet a specific goal, sometimes long ago or for forgotten reasons. We reevaluate the strategy based on the financial plan and make adjustments to better suit your client's situation. Our planners understand how insurance fits into a comprehensive plan.

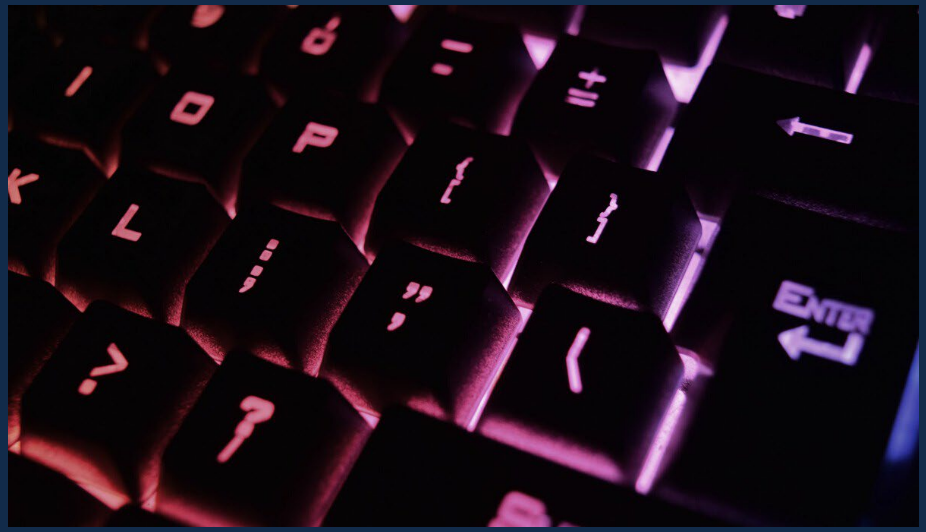
MARKETING

Our marketing team equips you with the appropriate tools to help you develop new business, build your referral network and maintain existing client relationships. They help you leverage our robust website, featuring original content, videos and podcasts, guide you through the use of social media and create and manage special events that put you in front of clients and prospects. In addition, our dedicated ghostwriter works with you to build a library of articles that demonstrate your expertise and raise your profile as a financial planning thought leader.

Infrastructure and Technology

CLASS A OFFICE SPACE

In Miami, Fort Lauderdale, Boca Raton and West Palm Beach, Fla., as well as satellite offices in Sarasota, Fla., Cincinnati and New York City. Each office is equipped with meeting rooms and the latest technology to enhance your interactions with clients, streamline your responsibilities and improve your ability to deliver highquality services.



LATEST TECHNOLOGY

As a member of our firm, you will have access to our full range of IT resources, including video conferencing, VOIP calling, document storage and a robust CRM system. In addition, we provide our advisors with 24/7 HelpDesk support delivered by a dedicated staff of IT professionals, who keep laptops, security software and other equipment up-to-date and help to remove the barriers you may face utilizing the latest technologies.

ENCRYPTED CLIENT PORTAL

For your clients, we provide an online portal where they can gain secure and encrypted access to their accounts and important documentation.

Benefits

HEALTH AND DENTAL INSURANCE

$450 per month contribution to FA plan
*Subject to change each year

LIFE INSURANCE

LONG TERM AND SHORT TERM DISABILITY INSURANCE

WELLNESS PROGRAMS

HSA AND FSA ACCOUNTS

Health Savings Account and Flexible Spending Account

COLLABORATIVE CULTURE

Expenses

$400/MONTH*
Technology cost per producer
Errors and Omissions Insurance per producer
* Subject to change.

LOCATIONS

Miami
200 S. Biscayne Boulevard
Seventh and Eighth Floors
Miami, Florida 33131-5351
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